

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))SMALL BUSINESS CONCERN		Attorney Docket No. 88-06 U.S
<p>Applicant or Patentee: KAMEL SHAATH; YAQIN FU; VINAY PAKTHASAKATHY; ALEXES JELVIS; ADELL LIYANSKY</p> <p>Serial or Patent No:</p> <p>Filed or Issued:</p> <p>Title: METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT</p>		
<p>I hereby declare that I am: <input type="checkbox"/> the owner of the small business concern identified below; <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below;</p>		
NAME OF SMALL BUSINESS CONCERN KOM INC		
ADDRESS OF SMALL BUSINESS CONCERN 4019 CARLING AVENUE, OTTAWA, ONTARIO, CANADA K2K 2A3		
<p>I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p>		
<p>I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, described in:</p>		
<p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above. <input type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.</p>		
<p>If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p>		
<p>Each person, concern or organization having any rights in the invention is listed below:</p>		
<p><input checked="" type="checkbox"/> No such person, concern, or organization exists. <input type="checkbox"/> Each such person, concern, or organization is listed below.</p>		
<p>Separate verified statements are required from each named person, concern or organization having rights to the invention, averring to their status as small entities. (37 CFR 1.27)</p>		
<p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.</p>		
X	NAMES OF PERSON SIGNING TITLE OF PERSON IF OTHER THAN OWNER ADDRESS OF PERSON SIGNING	KAMEL SHAATH V.P. ENGINEERING 4019 CARLING AVENUE, OTTAWA, ONTARIO, CANADA K2K 2A3
X	SIGNATURE	DATE 15 September 2000

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	88-06 US
		First Named Inventor	SHAATH
		COMPLETE IF KNOWN	
		Application Number	
		Filing Date	
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT

the specification of which is attached hereto OR
 as filed on (MM/DD/YYYY) _____ as United States Application Number or PCT International Application Number _____ and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

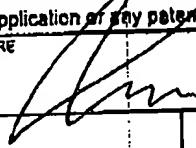
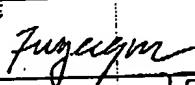
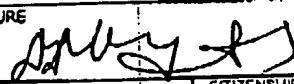
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? Yes No

Additional foreign application numbers are listed on a supplementary priority data sheet (PTO/SB/02B) attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

DECLARATION - Utility or Design Patent Application		Attorney Docket No. 88-06 US
<p>I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.</p>		
U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
<p>As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact business in the Patent and Trademark Office connected therewith:</p>		
GORDON FREEDMAN, REG. NO. 41,663		
Address all correspondence to:	Freedman & Associates 117 Centrepointe Drive Suite 350 Nepean, Ontario, K2G 5X3 Canada	
Address all telephone calls to:	Gordon Freedman • 613-274-7272	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements were made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>		
FULL NAME OF SOLE OR FIRST INVENTOR	SIGNATURE	DATE
Kamel SHAATH		15, Sept, 2000
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149 McCurdy Drive, Kanata, Ontario, Canada K2L 2Z7	CANADIAN	
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<p>Same as residence address</p>		
FULL NAME OF SECOND JOINT INVENTOR, IF ANY	SIGNATURE	DATE
Yaqun FU		Sept. 15, 2000
RESIDENCE	CITIZENSHIP	
59 Bayshore Drive #105, Nepean, Ontario, Canada K2B 7G8	CANADIAN	
POST OFFICE ADDRESS		
<p>Same as residence address</p>		
FULL NAME OF THIRD JOINT INVENTOR, IF ANY	SIGNATURE	DATE
Vinay PARTHASARATHY		15- 9- 2000
RESIDENCE	CITIZENSHIP	
2881 Richmond Road, Ottawa, Ontario, Canada K2B 8J5	INDIAN	
POST OFFICE ADDRESS		
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Sent By: Freedman & Associates;

KOM INC
613 274 7414;

Sep-15-00 14:17;

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DECLARATION - Utility or Design Patent Application

Attorney Docket No. 88-06 US

FULL NAME OF FOURTH JOINT INVENTOR, IF ANY

SIGNATURE

DATE

Alexei JELVIS

15 Sept 2000

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10-73 Woodbridge Crescent, Nepean, Ontario,
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CITIZENSHIP

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FULL NAME OF FIFTH JOINT INVENTOR, IF ANY

SIGNATURE

DATE

Abel L. LIYANSKY

15 - Sep - 2000

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218-2055 Carling Avenue, Ottawa, Ontario, Canada K2A 1G8

CITIZENSHIP

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FULL NAME OF SIXTH JOINT INVENTOR, IF ANY

SIGNATURE

DATE

RESIDENCE

CITIZENSHIP

POST OFFICE ADDRESS